U.S. Office of Government Ethics Certification

Data Revised 10/03/2018

Data Revised 07/30/2018

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(10/03/2018, Plesser, Brian C): 208 and 502 Sherpaa Health. 13770: Sherppa Health and Aldwych Capital Partners.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	то
1	Sherpaa Health É	See Endnote	New York, New York	Corporation	COO & CFO	7/2016	Present
2	Aldwych Capital Partners	See Endnote	New York, New York	Corporation	Vice President	11/2014	1/2017

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Sherpaa Health (Virtual Primary Care Technology Practice)	N/A		Salary/Bonus	\$178,647
2	Sherpaa Health (Virtual Primary Care Technology Practice)	N/A		Portion of revenue/profits paid out during the reporting period.	\$88,189

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Bloomberg LP managed by Empower	See Endnote	New York, New York	I will continue to participate in this defined contribution plan. Neither me nor the plan sponsor have made contributions since separation from Bloomberg.	9/2012
2	Sherpaa Health	See Endnote	New York, New York	Will be granted ownership shares in the company.	12/2019

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Sherpaa Health	New York, New York	Serve as company's COO & CFO

5. Spouse's Employment Assets & Income and Retirement Accounts

None

6. Other Assets and Income

None

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	ТҮРЕ	AMOUNT	YEAR INCURRED	RATE	TERM
1	Lending Club	Personal Loan	\$10,001 - \$15,000	2017	20	36
2	Citibank	Exercised Line of Credit	\$15,001 - \$50,000	2017	. 11	

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

PART	#	ENDNOTE
1.	1	Sherpaa Health pays single purpose individual LLC. Income represents income derived from Sher
1.	2	Employed until January 2017. Received final paycheck Dec. 2016 which falls outside the reporting period.
3.	1	Not reported at Part 2, because it does not meeting reporting threshold.
3.	2	Value not readily ascertainable.